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Signed: _____

Kimberly Melvin

Atty. Docket No.: HLAVP002D1
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

HLAVKA, Edwin J. et al.

Application No.: 10/623,182

Filed: July 18, 2003

For: METHOD AND APPARATUS FOR CATHETER-
BASED ANNULOPLASTY USING LOCAL PLICATIONS

Examiner: Unassigned

Group: 3736

Date: November 6, 2003

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§1.56 AND 1.97(c)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449 may be material to examination of the above-identified patent application. Applicants submit the list of these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application. The above-identified application is a Divisional of prior application U.S. Patent Application No. 09/866,550. This prior application is being relied upon for an earlier filing date under 35 U.S.C. § 120. Because the listed references were either cited by the PTO, or submitted to the PTO in the prior application, under 37 CFR § 1.98(d) Applicants submit that copies need not be provided.

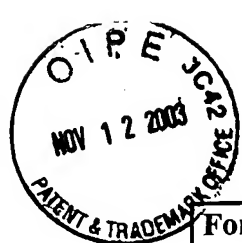
This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action on the merits. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-1652 (Order No. HLAVP002D1).

Respectfully submitted,

Peggy A. Su
Reg. No. 41,336

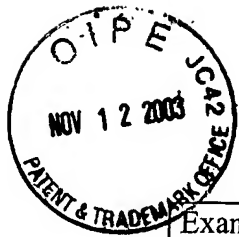
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Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	Atty Docket No. HLAVP002D1 Application No.: 10/623,182 Inventor E. Hlavka et al. Group 3736 Filing Date July 18, 2003 Mailing Date November 6, 2003
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U.S. Patent or Published Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-Class	Filing Date
		4,042,979	8/23/77	Angell	3	1.5	7/12/76
		4,055,861	11/1/77	Carpentier et al.	3	1.5	4/9/76
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		5,669,919	9/23/1997	Sanders et al.	606	148	
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Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
		WO99/00059	1/7/99	WO				
		WO00/03759	1/27/00	WO				
		WO 00/60995	10/19/2000	WO				

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
		Liddicoat et al., U.S. Patent Application Pub. No. 2002/0042621 entitled, "Automated Annular Plication for Mitral Valve Repair"
		Oz et al., U.S. Patent Application Pub. No. 2001/0005787 entitled, "Method and Apparatus for Circulatory Valve Repair".
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		Zipes, Douglas P. MD, "Ablation of Free Wall Accessory Pathways" 1994 Catheter Ablation of Arrhythmias
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		"Anatomical Landscape of Heartport Technology", Heartport Common Stock Prospectus, April 25, 1996, Cardiology Roundtable interviews
		http://www.hsforum.com/vol2/issue2/1999-4963_tables.html
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		Morales, David L.S. et al., "Development of an Off Bypass Mitral Valve Repair" Department of Surgery, Columbia University, College of Physicians and Surgeons, New York, NY

Examiner	Date Considered
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Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.